

Lessons Learned from ctDNA NGS in 25,000 Advanced Cancer Patients in Clinical Practice

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Outline/Key Points

- 1. Predictive Diagnostic what are the critical performance characteristics?
 - a) Why comprehensive genomic plasma testing "CGP testing" is critical?
 - b) What sensitivity (limit of detection) is required for advance cancer patients?
- Path to validate analytical claims when orthogonal reference methods for plasma do not exist
- 3. Clinical outcomes for different type of alterations and low MAF variants

Case 1: Multiple resistance mechanisms in *EGFR* mutant NSCLC

- 49 year old female with NSCLC diagnosed at age 46
- EGFR exon 19 deletion identified at diagnosis via tissue testing
- Progressing on afatinib after ~18 months
- Guardant360 performed to look for resistance mechanism without another biopsy

EGFR T790M Drives Resistance in 50% of EGFR mutated NSCLC

Guardant360 Tumor Response Map

The Guardant360 Tumor Response Map illustrates the relative changes of observed cfDNA at different sample submission time points. The "Somatic Alteration Burden" value below refers to the maximum % cfDNA detected at each time point. Amplifications are not plotted.



Summary of Alterations & Associated Treatment Options

The percentage, or allele frequency, of altered cell-free DNA (% cfDNA) circulating in blood is related to the unique tumor biology of this patient. Factors that may affect the amount/percentages of detected genomic alterations in circulating cell-free DNA in blood include tumor growth, turn-over, size, heterogeneity, vascularization, disease progression, or treatment.

Alteration		% cfDNA	cfDNA Amplification	FDA Approved in Indication	Available for Use in Other Indications	Clinical Drug Trials
	E746_A750 Del	0.2		Afatinib, Erlotinib, Gefitinib	Necitumumab, Panitumumab	Trials Available
EGFR	<i>Т790М</i>	0.2		Osimertinib Lack of Response: Erlotinib, Gefitinib	Afatinib, Necitumumab, Panitumumab	Trials Available

Beyond *EGFR* T790M – Genomic Mechanisms of Acquired Resistance



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for *EGFR* T790M Would Have Missed the Full Picture

Guardant360 Tumor Response Map

The Guardant360 Tumor Response Map illustrates the relative changes of observed cfDNA at different sample submission time points. The "Somatic Alteration Burden" value below refers to the maximum % cfDNA detected at each time point. Amplifications are not plotted and only the first and last four test dates are plotted. Please see the physician portal for the Tumor Response Map with all test dates.



NCCN Guideline Somatic Genomic Targets

Eleven somatic genomic targets in seven cancer types across all four major types of genomic alterations

Cancer Type		Targetable Genomic Alterations					
NSCLC	EGFR mt	<i>BRAF</i> mt	<i>ERBB2</i> mt	ALK fusion	ROS1 fusion	<i>RET</i> fusion	<i>MET</i> amp and exon 14 skipping mt
Colorectal	KRAS mt exons 2,3,4	NRAS mt exons 2,3,4	<i>BRAF</i> mt	MSI (or MMR I relatives with C	HC) if <u><</u> 70 or ol CRC	der if relative wit	th CRC <u><</u> 50 or 2
Breast	<i>ERBB</i> 2 <i>(HER2)</i> amp	 BRCA1/2 germline if: early onset 45 triple negative breast cancer 60 male breast cancer at any age dx at any age & family hx breast, ovarian, pancreas or prostate 					
Gastric & Gastro- esophageal	<i>ERBB</i> 2 (HER2	<i>ERBB</i> 2 (HER2) amp					
Melanoma	BRAF mt	<i>KIT</i> mt					
GIST	<i>KIT</i> mt	PDGFRA mt	BRAF mt				

Guardant360 – No A Priori Knowledge Requirement

Critical exons completely sequenced and all four major classes of alterations

AKT1	ALK	APC	AR	ARAF	ARID1A	ATM	BRAF	BRCA1	BRCA2
CCND1	CCND2	CCNE1	CDH1	CDK4	CDK6	CDKN2A	CDKN2B	CTNNB1	EGFR
ERBB2	ESR1	EZH2	FBXW7	FGFR1	FGFR2	FGFR3	GATA3	GNA11	GNAQ
GNAS	HNF1A	HRAS	IDH1	IDH2	JAK2	JAK3	ΚΙΤ	KRAS	MAP2K1
MAP2K2	MET	MLH1	MPL	МҮС	NF1	NFE2L2	NOTCH1	NPM1	NRAS
NTRK1	PDGFRA	PIK3CA	PTEN	PTPN11	RAF1	RB1	RET	RHEB	RHOA
RIT1	ROS1	SMAD4	SMO	SRC	STK11	TERT	TP53	TSC1	VHL

POINT MUTATIONS - Complete* or Critical Exon Coverage in 70 Genes

AMPLIFICATIONS

AR	BRAF	CCND1	CCND2	CCNE1	CDK4	CDK6	EGFR	ERBB2
FGFR1	FGFR2	κιτ	KRAS	MET	МҮС	PDGFRA	РІКЗСА	RAF1

FUSIONS

INDELS

EGFR exons 19/20	ERBB2 exons 19/20	MET exon 14 skipping

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Guardant Health's experience in running G360 as an LDT

- Launched as an LDT in June 2014
- Leader in liquid biopsy market: processed >25,000 samples from >2,500 ordering physicians to date.



Half of reported variants occur below 0.4% Variant Allele Fraction (N=20,000)



cancers



Path for Validation of Analytical Claims

- Unlike sheared genomic DNA or synthetic material, cell-line derived cfDNA are well suited to be used as contrived samples.
 - We believe that the genomic profile of cell line-derived cell-free DNA (cfDNA) is highly similar to matched cell line-derived gDNA (specimen commutability).
 - Using titrated cell line-derived cfDNA, analytical performance of the assay can be studied.

Variant Type	Reportable Range	Unique Variants Tested	Sensitivity [95% CI]	PPV [95% CI]
SNVs	≥0.2%	90	98.9% [93.1-99.9]	100% [94.8-100%]
Indels	≥2 molecules	37	95.7% [84.0-98.7%]	100% [90.0-100%]
CNAs	≥2.20 copies	70	94.3% [85.3-98.2%]	93.0% [83.6-98.3%]
SVs	≥2 molecules	19	100% [79.1-100%]	100% [79.1-100%]

Analytic Specificity > 99.9999% 54-Gene Panel vs. Whole Exome Sequencing

A New Gold Standard for Specificity:

~1,560,000 base pairs sequenced -(20 Samples x 78,000 BPs per sample)

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	NEIEI		
G360	Positive	Negative	Total
Positive	365	1	366
Negative	0	1,559,634	1,559,634
Total	365	1,559,635	1,560,000

Perform	nance	(95% CI)
Specificity	>99.9999%	(>99.9999%-100.0%)
Sensitivity	100%	(98.7%-100.0%)
Concordance	99.9999%	(99.9999%-100.0%)

The single putative false positive sample was sent to another outside reference lab (Stanford Protein and Nucleic Acid Facility) where Sanger Sequencing found it to be a true positive.

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High Analytic Specificity

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68-Gene Panel vs. Whole Exome Sequencing

2nd Study Re-Confirms Near-Perfect Specificity:

~1,515,000 base pairs sequenced – 68-gene panel (15 Samples x 101,000 BPs per sample)

G360	Positive	Negative	Total
Positive	215	3	218
Negative	0	1,514,785	1,514,785
Total	215	1,514,788	1,515,003

Reference

Perfo	rmance	(95% CI)
Specificity	>99.9998%	(>99.9998%-100.0%)
Sensitivity	100%	(97.8%-100.0%)
Concordance	99.9999%	(99.9998%-100.0%)

The samples with the three putative false positives were sent to another outside reference lab (Stanford) where Sanger Sequencing found all three to be true positives.

ctDNA NGS to ctDNA ddPCR

Using highly-validated clinical ddPCR assays as reference, G360 demonstrated perfect qualitative and excellent quantitative accuracy even at low allelic fractions



Quantitative Calibration Important for Relative Variant Allele Fractions

Tissue NGS vs. Plasma Cell-Free NGS on 165 Paired Samples from Five Centers

Cell-fre	e DNA vs.	Tissue NGS	5	
SENS	ITIVITY		85.0% (78.9%-89.7%)	
SPEC	IFICITY			99.6% (99.4%-99.7%)
DIAG	NOSTIC AC	CCURACY		99.3% (99.1%-99.4%)
I O	І 25	І 50	І 75	I 100
Tissue	vs. Cell-fre	e DNA NGS	6	
SENS	ITIVITY			80.7% (74.4%-85.8%)
SPEC	IFICITY			99.7% (99.5%-99.8%)
DIAG	NOSTIC AC	CCURACY		99.3% (99.1%-99.4%)
I O	І 25	І 50	І 75	I 100

Cell-free DNA sensitivity may be limited when tumor DNA is not shed into circulation. Tissue DNA sensitivity may be limited because samples fail to capture tumor heterogeneity.

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Tissue NGS Concordance: Blinded External Validation Study



Prospective study of 102 Consecutive NSCLC patients

ctDNA PPV vs tissue biopsy (NSCLC, CRC, Breast, Others)



- Truncal driver mutations
 - PPV remained high (94.5%, n=71) for low MAF truncal mutations (<0.5%)
 - * The single ctDNApositive, tissue-negative *ALK* fusion responded to crizotinib
- Subclonal mutations indicate likely resistance
 - Discordant resistance cases likely reflect evolution on therapy after initial tissue biopsy

Reflect Prevalence in TCGA Tumor Tissue Compendia



The secondary resistance mechanism *EGFR* T790M frequently found in ctDNA cohort, but rare in TCGA (surgical/treatment naïve)

ctDNA Fusion Breakpoint Patterns Mirror TCGA



Morán T, et al. 2013 Transl Lung Cancer Res

Clinical Outcomes for Different Variant Types in CtDNA NGS, and Low Variant Allele Fraction Calls In Particular

EGFR Mutations Respond to TKI Whether Measured in Tissue (A) or Plasma (B)





Carboplatin with docetaxel or gemcitabine

A - EGFR L858R or exon 19 Del Measured in Tissue (N = 86) Median PFS (95% CI): Erlotinib arm 10.4 mos (8.4 - 12.9) Chemotx arm 5.1 mos (4.5 - 5.8)

B - EGFR L858R or exon 19 Del Measured in Plasma (N = 49)
Median PFS 995% CI) by qPCR or TaqMan: Erlotinib arm 12.3 mos (8.4 – 14.7) Chemotx arm 5.5 mos (4.5 – 6.7)

Whether measured in tissue or blood, *EGFR* L858R and ex19 deletions responded to erlotinib. This is intuitive since the mutations in the blood come from the tissue.

Plasma EGFR T790M Responds to 3rd Generation TKI Even When Tissue Negative

Plasma (BEAMing dPCR) vs. Tissue "Non-Reference Standard" (N=38)				
Sensitivity	81%			
Specificity	58%			



Case Two: Low-MAF ALK Fusion NSCLC but Tissue QNS for Genotyping

Clinical Case:

— 58-year-old female non-smoker presents with metastatic NSCLC

 Progression on empirical chemotherapy but tissue is QNS for genotyping despite three biopsy attempts

— Guardant360 demonstrated TWO MOLECULES of EML4-ALK fusion at 0.1% VAF.

Major durable response to crizotinib



From no options to response with a simple blood test

MET Exon 14 Skipping Indel at 0.1% MAF in Undergenotyped Patient

72-year-old male never-smoker with metastatic lung adenocarcinoma.

Had 3 prior lines: carbo/taxol/avastin, then nivolumab, then carbo/pem. Could no longer walk on the beach.

Alteration		% cfDNA	
TP53	S241F	0.5	"First hit", tumor suppressor gene inactivating mutation
MAP2K2	Y134C	0.1	<i>MEK</i> 2 Variant of Uncertain Significance (VUS)?
MET	Exon 14 Skipping Indel	0.1	<i>MET</i> oncogene activation targetable with crizotinib or cabozantanib

Guardant360 reveals *MET* mutation: MET inhibitor produced PR (30%) with major clinical response, ECOG performance now 0.

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Guardant360 Publications (1-6)

Author/Journal (Year)	Key Findings	AV, CV, CU
Zill et al. 2015 Cancer Discovery (pancreatic cancer and cholangiocarcinoma)	 35% of tissue biopsies insufficient in 100% "rescued" with Guardant360, i.e. ctDNA positive for driver mutation 92% sensitivity for 5 major genes 	CV
Ko et al. 2015 Clinical Cancer Research (pancreatic cancer)	100% concordance for KRAS	CV
Kim et al. 2015 Oncotarget (colorectal ca/melanoma)	 90%+ sensitivity for KRAS and BRAF Mean turnaround 10 days (N=75 patients) 	CV
Lanman et al. 2015 PLOS One (multiple stage III/IV solid tumor cancers)	 Analytic sensitivity to 1-2 molecules (0.1% variant allele fraction), analytic specificity 99.9999% Clinical sensitivity 85%, clinical specificity 99.6%, accuracy 99.3% 	AV, CV
Lokhandwala et al. 2016 Clin Lung Cancer (lung cancer)	 Lung biopsy average cost (\$14,600) driven by complication rate (19.3%) in Medicare population 	Health Econ
Raghav et al. 2016 Oncotarget (advanced colorectal carcinoma)	 22% of anti-EGFR inhibitor-resistant metastatic colorectal cancer patients <i>MET</i> amplified, but not treatment-naive or <i>RAS</i> mutant 	CV

Guardant360 Publications (7-11)

Author/Journal (Year)	Key Findings	AV, CV, CU
Liang et al. 2015 Breast Cancer Res Treat (metastatic breast cancer)	 100% specificity for <i>ERBB2</i> (HER2) amplification 86% clinical response rate 	CV, CU
Schwaederlé et al. 2015 Oncotarget (advanced pan-cancer)	 54-gene/3-gene amp test version, 69% were actionable w/ FDA-approved drug Mean turnaround 13 days (95%CI 12-13), N=171) 	CV, CU
Piotrowska et al. 2016 J Thor Oncol (non-small cell lung cancer (NSCLC))	 Case report of EGFR T790M Guardant360 "rescue" and response when biopsy tissue was insufficient for genotyping 	CV, CU
Schwaederlé et al. 2016 Clin Canc Res (advanced pan-cancer)	 CtDNA-targeted patients with response or stable disease in 5 of 12 evaluable patients (42%) superior to 2 of 28 unmatched patients (7.1%), p=0.02 	CV, CU
Villaflor et al. 2016 Oncotarget (advanced NSCLC)	 1st clinic-based NSCLC cohort, 83% had ctDNA detected Tissue not genotyped in 54% Six (11%) of all ctDNA positive patients had <i>EGFR</i> mutations (two were T790M), one initial EGFR driver was found in plasma but not tissue 8 responded (75%) & 2 stable – for 100% disease control rate Median PFS of 11.5 months in the expected range based on published studies using tissue genotyping 	CV, CU

Guardant360 Publications (12-14)

Author/Journal (Year)	Key Findings	AV, CV, CU
Thompson et al. 2016 Clinical Cancer Research (advanced NSCLC)	 Prospective clinic-based NSCLC cohort (N=102), 53 1st line, 47 at progression (≥ 2nd line) Tissue not genotyped in 51% – 7 not biopsy-able and 45 QNS CtDNA positive in 84.3%, including 8 with EGFR T790M where tissue failed 31% had on-label Rx, 55% off-label and 70% were trial eligible 	CV, CU
Hong et al. 2016 Cancer Discovery In Press (advanced colorectal cancer (mCRC))	 Prospective combination therapy study of 17 mCRC patients with <i>BRAF</i> V600E: 35% response rate (RECIST) and 88% disease control rate - median PFS 7.7 months vs. 2.5 months Guardant BRAF V600E correlated to plasma ddPCR: R² = 0.99 <i>BRAF</i> V600E cfDNA correlated with radiographic response 	CV, CU
Rozenblum et al. 2016 Journal of Thoracic Oncology <i>In Press</i> (advanced NSCLC)	 19 tissue insufficient for tissue NGS, treatment decision was changed in 32% (6 of 19) who had NCCN genomic targets <i>EGFR</i> (2), <i>RET</i> (2), <i>MET</i> (1), <i>ERBB2</i> (HER2) (1) Matched therapy resulted in three PR and two SD out of five treated (60% objective response, 100% disease control rate by RECIST) All but one of the six with genomic targets (84%) were missed with local <i>EGFR</i> qPCR and <i>ALK</i> FISH only testing 	CV, CU

"Blood to Blood" Validation of ctDNA NGS to ddPCR

and Response for BRAFV600E in Colorectal Cancer



- 1. Six of 17 evaluable patients (35%) achieved a radiographic response by RECIST 1.1 criteria with vemurafenib, cetuximab & Irinotecan. Median progression-free survival was 7.7 months.
- 2. BRAF^{V600E} cfDNA trends correlated with radiographic changes (response and progression)
- 3. Acquired mutations from cfDNA in genes critical to MAPK signaling were observed at progression before their first restaging scan.

Prospective cfDNA-based Matching Trial, an Interim Analysis: NEXT-2 NSCLC

87% Response Rate and 100% Disease Control Rate



Abbreviations: IGF, insulin-like growth factor; MAb, monoclonal antibody; cfDNA, cell-free DNA

Treatment Decision Changed in 32% (6 of 19) of NSCLC with Biopsy Insufficient for Tissue NGS

Local EGFR & ALK Testing Missed 83% (5 of 6) ctDNA-Detected Alterations



Rozenblum et al. 2016 Journal of Thoracic Oncology Note: 6th patient with CCDC6-RET fusion not yet evaluated * Clinically stable disease; ^copy number in plasma

Prospective cfDNA-based Matching Trial, an Interim Analysis: NEXT-2 Gastric Carcinoma

67% Response Rate and 100% Disease Control Rate

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Abbreviations: AKT1i, AKT1 inhibitor; MAb, monoclonal antibody; ctDNA, circulating tumor DNA; IND, investigational new drug

32 Kim et al. 2016 ASCO Abstract *J Clinical Oncology* 34;15_suppl

86% Response Rate to ctDNA-Detected *ERBB2* (HER2) Amplification in Metastatic Breast Cancer

Patient <i>ERBB2</i> Amplified in plasma	Tissue HER2+ Confirmed by IHC and/or FISH	Treatment	Response
23.6	\checkmark	paclitaxel/trastuzumab/pertuzumab	\checkmark
3.9	\checkmark	paclitaxel/trastuzumab/pertuzumab	\checkmark
7.1	\checkmark	paclitaxel/trastuzumab/pertuzumab	\checkmark
2.3	\checkmark	trastuzumab/pertuzumab	\checkmark
2.7	\checkmark	trastuzumab/emtansine/lapatinib	\checkmark
8.6	\checkmark	trastuzumab/emtansine/lapatinib	\checkmark
2.7	\checkmark	trastuzumab emtansine monotherapy	×
Total	100% Concordant		86% Response Rate

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Case Three: MET Gene Amplification in NSCLC

Summary of Alterations & Associated Treatment Options

The percentage, or allele frequency, of altered circulating cell-free DNA (% cfDNA) in blood is related to the unique tumor biology of this patient. Factors that may affect the amount/ percentages of detected genomic alterations in circulating cell-free DNA in blood include tumor growth, turn-over, size, heterogeneity, vascularization, disease progression, or treatment.







MET Amplification: a Function of anti-EGFR Therapy in Metastatic Colorectal Cancer



MET Amplification: An Actionable Resistance Target in Metastatic Colorectal Cancer (ctDNA)



"Comprehensive" Means Fusions & Amps Too! plasma NGS is now moving into the clinical space and can make accurate and precise calls"

Table. Clinically Available Assays for Genotyping of Plasma Cell-Free DNA (cfDNA)					
	PCR Assays		NGS Assays		
Characteristic	Allele-Specific PCR	Emulsion PCR	Amplicon-Based Targeted NGS		Capture-Based Targeted NGS
Variants potentially detected	Known recurring mutations	Known recurring mutations	Any exonic mutations, copy number gains	/	Exonic mutations, intronic gene fusions, copy number gains
Quantitation	Semiquantitative (against standard curve)	Absolute or relative quantitation, wide dynamic range	Quantitation of relative AF, but vulnerable to PCR amplification bias		Quantitation of relative AF
Speed and complexity	Rapid, relatively easy to interpret	Rapid, relatively easy to interpret	Potentially rapid, l complex bioinformatics	ess	Potentially slower, more complex bioinformatics
Examples	Cobas (Roche); therascreen (Qiagen)	Droplet digital PCR (Biorad); BEAMing (Sysmex Inostics)	Tam-seq (Inivata)		Guardant360 (Guardant); cancerselect (Personal Genome Diagnostics)

Should the Hurdle for Clinical Utility for a Diagnostic be Higher Than for a Matched Therapy Drug?

"High ORR (e.g. statistically exceeding an ORR of 30%) is an appropriate end point for single-arm trials aiming to demonstrate breakthrough activity of a single-agent anticance therapy"

Table. A Range of Statistical End Points for Single-Arm Trials and the Estimated Test Characteristics for Their Ability to Predict for Regulatory Approval

Percentage (95% CI)						
ORR	Sensitivity	Specificity	PPV	NPV		
Single-Agent Therapies						
10	70 (49-87)	86 (74-94)	68 (46-85)	88 (76-95)		
15	67 (45-84)	91 (81-97)	76 (53-92)	87 (75-94)		
20	50 (29-71)	91 (81-97)	71 (44-90)	81 (70-90)		
25	42 (22-63)	95 (85-99)	77 (46-95)	79 (68-88)		
30	33 (16-55)	98 (91-100)	89 (52-100)	78 (66-87)		
35	33 (16-55)	98 (91-100)	89 (52-100)	78 (66-87)		
40	29 (13-51)	98 (91-100)	88 (47-100)	77 (65-86)		
45	25 (10-47)	100 (94-100)	100 (54-100)	76 (65-85)		
50	13 (3-32)	100 (94-100)	100 (29-100)	73 (62-82)		
55	13 (3-32)	100 (94-100)	100 (29-100)	73 (62-82)		
60	13 (3-32)	100 (94-100)	100 (29-100)	73 (62-82)		

31% of Lung Adenocarcinoma is Targetable NCCN Genomic Targets: EGFR, BRAF, MET, ERBB2 (HER2), ALK, ROS1, RET

